

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Avi Ashkenazi, et al. Docket No.: 39780-1618P2C35
Serial No.: 09/904,938 Group Art Unit: 1647
Filing Date: July 12, 2001 Examiner: Lorraine Spector
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME**

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §1.97**

Mail Stop: IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

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CERTIFICATE OF MAILING (37 CFR 1.10(a))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited on April 16, 2004 with the U.S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as **Express Mail No. EL 977 673 436 US** addressed to: Mail Stop: Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: April 16, 2004

Cheryl Ann Rogers
Cheryl Ann Rogers

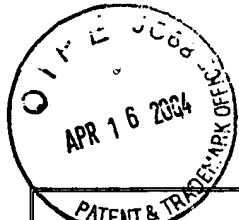
- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39780-1618P2C35).

Respectfully submitted,

Dated: April 16, 2004

By: Daphne Reddy
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INFORMATION DISCLOSURE STATEMENT PTO-1449			ATTY. DOCKET NO.: 39780-1618P2C35		SERIAL NO.: 09/904,938		
			APPLICANT : Avi Ashkenazi, et al.				
			FILING DATE: July 12, 2001		GROUP: 1647		
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	Dayhoff, Accession No.: P_AAB80257, WO200104311-A1, Pub Date: Jan. 18, 2001, Ashkenazi, A.J. et al.						
	Dayhoff, Accession No.: P_AAY13389, WO9914328-A2, Pub Date: Mar. 25, 1999, Wood, W.I. et al.						
	Dayhoff, Accession No.: NP_000382, Science 277 (5333), pp. 1802-1805, 1997, Sleat, D.E. et al.						
	Dayhoff, Accession No.: TPPI_Human, Science 277 (ref. 1 sequence), pp. 1802-1805, 1997, Sleat, D.E. et al.						
	GenBank, Accession No.: P_AAF72418, WO200104311-A1, Pub Date: Jan. 18, 2001, Ashkenazi, A.J. et al.						
	GenBank, Accession No.: P_AAX52260, WO9914328-A2, Pub Date: March 25, 1999, Wood, W.I. et al.						
	GenBank, Accession No.: NM_000391, Genome Research 13 (10), pp. 2265-2270, 2003, Clark, H.F. et al.						
	GenBank, Accession No.: AF017456, Science 277 (5333), pp. 1802-1805, 1997, Sleat, D.E. et al.						
	GenBank, Accession No.: P_AAF18156, WO200055180-A2, Pub Date: Sept. 21, 2000, Ruben, S.M.						
	Celis, Julio E., et al., "Gene expression profiling: monitoring transcription and translation products using DNA microarrays and proteomics", FEBS Letters; pp. 2-16; (2000).						
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.